

# Surreal Geometric Analysis

Roger Bishop Jones

## **Abstract**

This document is an exploration into formalisation of geometric algebra and analysis using surreal numbers instead of real numbers.

Created 2006/12/04

Last Change Date: 2010/08/13 10:57:22

<http://www.rbjones.com/rbjpub/pp/doc/t022.pdf>

Id: t022.doc,v 1.8 2010/08/13 10:57:22 rbj Exp

© Roger Bishop Jones; Licenced under Gnu LGPL

# Contents

|          |   |           |
|----------|---|-----------|
| <b>1</b> | <b>INTRODUCTION</b>   | <b>4</b>  |
| 1.1      | Preliminary Discussion . . . . .                                  | 4         |
| 1.1.1    | Motivations Connected with the Formalisation of Physics . . . . . | 4         |
| 1.1.2    | Some Objections . . . . .   | 6         |
| 1.1.3    | Other Motivations . . . . .                                       | 7         |
| <b>2</b> | <b>GALACTIC SET THEORY</b>  | <b>8</b>  |
| 2.1      | Relations and Operations over Sets . . . . .                      | 9         |
| 2.2      | Ordinals . . . . .  | 10        |
| 2.3      | Relations and Functions . . . . .                                 | 11        |
| 2.4      | Proof Context . . . . .   | 12        |
| <b>3</b> | <b>SURREAL NUMBERS</b>  | <b>13</b> |
| 3.1      | An Axiomatisation of the Surreal Numbers . . . . .                | 13        |
| 3.1.1    | Primitive Types and Constants . . . . .                           | 13        |
| 3.1.2    | Definitions . . . . .   | 14        |
| 3.1.3    | The Zero Axiom . . . . .  | 14        |
| 3.1.4    | The Cut Axiom . . . . .   | 14        |
| 3.1.5    | The Induction Axiom . . . . .                                     | 15        |
| 3.1.6    | Infinity . . . . .  | 15        |
| 3.2      | Constructing the Surreal Numbers . . . . .                        | 16        |
| 3.2.1    | The Representation of Surreals . . . . .                          | 16        |
| 3.2.2    | Defining The Constants used in The Axioms . . . . .               | 16        |
| 3.2.3    | Defining the Arithmetic Operators . . . . .                       | 18        |
| 3.3      | The Theory of Surreal Numbers . . . . .                           | 19        |
| <b>4</b> | <b>The Theory GST</b>   | <b>20</b> |
| 4.1      | Parents . . . . .   | 20        |
| 4.2      | Children . . . . .  | 20        |
| 4.3      | Constants . . . . .   | 20        |
| 4.4      | Aliases . . . . .   | 20        |
| 4.5      | Types . . . . .   | 20        |
| 4.6      | Fixity . . . . .  | 20        |
| 4.7      | Axioms . . . . .  | 21        |
| 4.8      | Definitions . . . . .   | 21        |
| 4.9      | Theorems . . . . .  | 22        |
| <b>5</b> | <b>The Theory sra</b>   | <b>23</b> |
| 5.1      | Parents . . . . .   | 23        |
| 5.2      | Constants . . . . .   | 23        |
| 5.3      | Aliases . . . . .   | 23        |
| 5.4      | Types . . . . .   | 23        |
| 5.5      | Fixity . . . . .  | 23        |
| 5.6      | Axioms . . . . .  | 23        |
| 5.7      | Definitions . . . . .   | 23        |
| <b>6</b> | <b>The Theory src</b>   | <b>24</b> |
| 6.1      | Parents . . . . .   | 24        |
| 6.2      | Children . . . . .  | 24        |
| 6.3      | Constants . . . . .   | 24        |

|          |                       |           |
|----------|-----------------------|-----------|
| 6.4      | Fixity . . . . .      | 24        |
| 6.5      | Definitions . . . . . | 24        |
| 6.6      | Theorems . . . . .    | 26        |
| <b>7</b> | <b>The Theory sr</b>  | <b>27</b> |
| 7.1      | Parents . . . . .     | 27        |
| 7.2      | Types . . . . .       | 27        |
| 7.3      | Definitions . . . . . | 27        |
| <b>8</b> | <b>INDEX</b>          | <b>28</b> |

## References

- [1] R.D. Arthan and R.B. Jones. Some Differential Geometry. *RBJones.com*, 2010.  
<http://www.rbjones.com/rbjpub/pp/doc/t003.pdf>.
- [2] Roger Bishop Jones. The Formalisation of Physics. *RBJones.com*, 2010.  
<http://www.rbjones.com/rbjpub/pp/doc/t002.pdf>.

# 1 INTRODUCTION

This document is a toe in the water to get a first idea of how hard it is to work with surreal numbers, with the objective ultimately of providing a formal basis for theoretical physics based on geometric algebra.

The stages envisaged are:

1. The theory of surreals
2. Geometric algebra ( $GA(0, \infty)$ ) based on surreals.
3. Geometric analysis
4. General Relativity and other theoretical physics

We have here also, pro-tem, an axiomatic set theory in which to construct the surreals (but which I intend to put to other uses as well).

## 1.1 Preliminary Discussion

My motivations for undertaking this exploration fall into two categories.

The most important of these, which may however be misconceived, are concerned with possible problems in the formalisation of Physics.

The less important motivation is simply curiosity, which arises I think for me primarily because of the relationship between the surreal numbers and the underlying set theory.

I will discuss these two kinds of motivation separately.

### 1.1.1 Motivations Connected with the Formalisation of Physics

I am interested in the formalisation of Physics:

- as an exercise in the scientific application of formal methods worthwhile in its own right
- as a way of approaching related philosophical problems (which I am inclined to class as *metaphysics*)
- as a part of that larger project in the automation of reason which we associate with Leibniz (his *lingua characteristica* and *calculus ratiocinator*).

There are some (rather slender) reasons which have encouraged me to consider whether the analysis on which a formalisation of physics is based might best be a *non-standard* analysis, and some other tenuous reasons for considering that such a non-standard analysis might best be based on the surreal numbers.

My provocations for considering non-standard analysis are as follows:

- In the course of my investigations to date (see [2]) I was offered by Rob Arthan some input on differential geometry (see [1]), in which a centrepiece is the Frechet derivative. The Frechet generalises the derivative from ordinary analysis to a derivative over functions over vector

spaces. The formulation shown in [1] delivers for total function between two vector spaces a derivative at some point, if the function is differentiable at that point. In effect, we begin with a total function, but may well end up with a partial function (the derivative), and this renders awkward the iteration of the function to obtain  $n$ th derivatives. Since the result of the first application need not be a total function, we may not be able to apply the function again.

One way of resolving this problem is shown also in [1] which is to define the Frechet derivative as delivering a total function of which the value at points where the argument function is not differentiable will be unknown. This can then be iterated.

Whether this is the right way to deal with iterated differentiation of functions which are not everywhere differentiable unclear.

- One of the principle targets for the formalisation of physics envisaged is the theories of special and general relativity, partly because of their relevance to the metaphysics of space and time. One of the interesting features of the general theory is the occurrence of singularities (black holes) under certain circumstances. Strictly speaking the occurrence of a singularity is a breakdown of the theory rather than a bona-fide solution to the differential equations. In informal mathematical treatments one can safely reason about these partial solutions to the equations, but in a formal treatment it will be necessary to spell out in detail what such a partial solution is so that it can be reasoned about with complete formal rigour. This might turn out to be awkward and complicated.

The possibility that the use of non-standard analysis might admit full solutions to the equations in these circumstances arises. If, in the interesting cases where the equations have partial solutions under standard analysis the equations had full solutions under non-standard analysis, then this might provide a better route to formalisation of the theory.

Two questions then arise. First is this the case, and, if the answer to that question is not known, what is the best way to go about getting closer to an answer.

Up until recently I have not had positive views about non-standard analysis and my reasons for this were:

- I have not been well motivated by the usual grounds offered for adopting non-standard analysis. It is usually offered as permitting the rigorous use of infinitesimal in accounts of analysis, which corresponds to the best original formulations and is supposed to be more intelligible than the later epsilon-delta formulations which were for about a century thought to be the only way to make these matters fully rigorous.

For my part however, the epsilon-delta formulation has always seemed to me clearer, not least because I could not begin to understand the treatment in terms of infinitesimals until I could understand the number system in which infinitesimals feature.

- I have found it much more difficult to get an intuitive grasp of what the numbers used in non-standard analysis are. The fact that they are arrived at as a non-standard model of the first order axiom system definitely did not recommend it to me.

Conway's surreal numbers seemed to me until recently disconnected from these issues. I thought that to do analysis with them it was necessary to separate out a subset which corresponded to the numbers either of non-standard or of standard analysis (the hyper-reals or the reals), and this promised to be a difficult task. My interest in the surreal numbers had not been much exercised by the possibility of using them for analysis.

However, in December 2006 I heard a talk by Philip Ehrlich in which he claimed that contrary to what Conway had suggested it was not necessary to separate out a subdomain for non-standard

analysis, the surreal numbers as a whole provided a model for non-standard analysis. This made me immediately consider the possibility of using the surreals as a base for a non-standard analysis to be used in the formalisation of physics.

The surreals can be constructed by two different methods both of which seemed to me to give clear intuitive semantic basis, and if non-standard analysis offered a smoother way to deal with singularities then it was worth serious consideration.

This provoked the explorations in this document, which I undertook at first with a rather hazy indifference to the question whether non-standard analysis really would be any better for physics than standard analysis.

My present view is that formalisation is unlikely to contribute (in any sensible timescale) to the question whether non-standard analysis might be good for formalised physics, and that talking to people who understand the physics and the mathematics better than I do would be more likely to clarify that point.

### 1.1.2 Some Objections

I briefly discuss some objections.

First I note two minor misunderstandings on my part which gave rise to easily dismissed objections to the idea that the surreals provide a model for non-standard analysis.

1. The first was that since the surreals include the ordinals, and addition on ordinals is non-commutative, the surreals cannot provide a field. This is a pretty dumb objection, because you only have to look at the definition of addition on the surreals to see that it is commutative. Of course that means that addition over the surreals does not agree with ordinal addition when restricted to the surreals which correspond to the ordinals, but there is nothing to stop the usual ordinal addition from being defined as a separate operation.
2. A second minor point to note is that the surreals are not complete in the same way as the reals. But non-standard analysis is based on a non-standard model of the field axioms, the hyperreals are not required to be complete. There is a different completeness axiom for the surreals, and it should be possible to formulate one which corresponds to completeness of the real subset of the surreals (supposing as I am guessing at present that the reals are the finite surreals of rank not greater than  $2\omega$ ).
3. Finally, even in non-standard analysis you can't divide by zero. Singularities may not go away.

It now appears to me the grounds I have for thinking that there might be solutions in non-standard analysis corresponding to situations involving singularities in general relativity are very slender indeed. I need to check out whether anyone has tried doing relativity with non-standard analysis.

Rob Arthan has expressed to me strong objections to the idea that surreals might be applicable in physics on the grounds that the surreals go beyond his geometric intuitions. Though I can give some credence to this objection, there are many aspects of modern physics which go beyond our intuitive expectations about the nature of space and time. My own intuitions object to the idea that there might really be singularities in the physical universe, but the theories I seek to formalise embrace that possibility and must therefore depend on a conception of space and time which goes beyond my intuitions. The possibilities which arise once gravitational fields are eliminated by incorporation into the curvature of space time (for example, worm holes) seem to go beyond anything for which we have direct observational evidence.

### 1.1.3 Other Motivations

My other motivation I declared as curiosity, but since it probably has the upper hand at present it may be worth my while to tease that out a bit more.

A principal cause of the curiosity is the fact that the surreals expand to the size of the set theoretic universe in which they are formulated. There is therefore some special difficulty in an axiomatic formulation independent of set theory, and also the rather bizarre possibility that such a formulation might itself technically suffice as a foundation for mathematics.

When doing mathematics in HOL (Higher Order Logic) an axiom of infinity is required. If you want to do set theory in HOL (apart from the limited theory obtained by treated properties as typed sets) you either need to axiomatise the set theory, or else you need a stronger axiom of infinity. This latter option is philosophically and technically interesting (independently of considering surreal numbers), but also interacts with the problem of formalising surreals in HOL. This is because the surreals include the ordinals, and you have to find a way of saying how many ordinals you are going to get (if this is not to be determined by doing a construction in a set theory and just picking up the ordinals from the set theory).

So this question of how to formulate strong axioms of infinity outside the context of set theory (strong enough to assert that the cardinality of the individuals is some large cardinal) and the question of whether and how such a strong axiom of infinity might provide a basis for a foundational theory (such as a set theory) is of interest. Bear in mind that I am working in the context of a Higher Order Logic, so if standard semantics of set theory is factorised into two parts (think of iterative construction of the cumulative hierarchy of sets), taking at each rank the set of *all* sets which can be constructed from sets of lower rank, and then running through sufficiently many ranks to get an adequate population, then the first part of the semantics (all sets of some rank) comes from the higher order logic (second order would do), and the second part is what we need a strong axiom of infinity for. Implicit in this discussion is that you don't have the option to go the whole hog and get *ALL* the pure well-founded sets. You just make successively more outrageous speculations expressed in ever stronger axioms of infinity which place greater lower bound on how far you can go, it is actually incoherent to suppose that the process can be completed.

## 2 GALACTIC SET THEORY

This is basically a higher order set theory with “universes” which I insist on calling “galaxies”. I’m going to follow an approach first adopted for the formalisation of the theory of PolySets in Isabell-HOL, in which I make maximal use of the set theoretic vocabulary already available in HOL by defining maps from sets in galactic set theory and the sets (subsets of types) already available in HOL,

First we have a new theory.

SML

```
| open_theory "rbjmisc";
| force_new_theory "GST";
| new_parent "fixp";
| new_parent "ordered_sets";
| set_merge_pcs ["hol1", "'savedthm_cs_∃_proof"];
```

Now the new type and the primitive constant, membership:

SML

```
| new_type("GST", 0);
| new_const("∈g", ⌈:GST → GST → BOOL⌋);
| declare_infix (70, "∈g");
```

The axioms of extensionality and well-foundedness can be stated immediately:

SML

```
| val GST_Ext_ax = new_axiom(["Ext"],
|   ⌈∀x y • x=y ⇔ ∀z • z ∈g x ⇔ z ∈g y⌋);
|
| val GST_wf_ax = new_axiom(["Wf"],
|   ⌈∀P • (∀s • (∀t • t ∈g s ⇒ P t) ⇒ P s) ⇒ ∀x • P x⌋);
```

The final axiom states that every set is a member of a galaxy, and also asserts a more global version of replacement. First the notion of Galaxy is defined. To do this I first introduce some mappings between GST’s and set so GST’s.

HOL Constant

```
| Xg : GST → GST SET
```

---

```
| ∀s • Xg s = {x | x ∈g s}
```

HOL Constant

```
| XXg : GST → GST SET SET
```

---

```
| ∀s • XXg s = {x | ∃y • y ∈g s ∧ x = Xg y}
```

HOL Constant

```
| ∃g : GST SET → BOOL
```

---

```
| ∀s • ∃g s ⇔ ∃t • Xg t = s
```



HOL Constant

$C_g : GST \ SET \rightarrow GST$

---

$\forall s \bullet \exists_g s \Rightarrow X_g (C_g s) = s$

We are now in a position to define galaxies.

HOL Constant

$G_g : GST \rightarrow BOOL$

---

$\forall s \bullet G_g s \Leftrightarrow \forall x \bullet x \in_g s \Rightarrow$   
 $(\exists v \bullet v \in_g s \wedge X_g v = \bigcup (XX_g x))$   
 $\wedge (\exists v \bullet v \in_g s \wedge XX_g v = \{z \mid z \subseteq X_g x\})$   
 $\wedge (\forall r \bullet r \in Functional \Rightarrow \exists v \bullet v \in_g x \wedge X_g v = r \ Image (X_g x))$

Galaxies have the following properties:

$G_{\Rightarrow \emptyset} \text{-lemma} =$   
 $\vdash \forall x \bullet G_g x \Rightarrow \exists_g \{\}$

The primarily ontological axiom is then:

SML

$val G\_ax = new\_axiom(["G"],$   
 $\quad \lceil \forall x \bullet \exists_g x \in_g g \wedge G_g g \rceil);$

The ontological consequences of this need to be teased out.

$\exists\_ \emptyset \text{-lemma} =$   
 $\vdash \exists_g \{\}$

I might possibly also need a global replacement axiom.

## 2.1 Relations and Operations over Sets

SML

$declare\_infix (50, "\subseteq_g");$   
 $declare\_infix (50, "\subset_g");$

HOL Constant

$\$ \subseteq_g : GST \rightarrow GST \rightarrow BOOL$

---

$\forall s \ t \bullet s \subseteq_g t \Leftrightarrow \forall x \bullet x \in_g s \Rightarrow x \in_g t$

HOL Constant

$\$ \subset_g : GST \rightarrow GST \rightarrow BOOL$

---

$\forall s \ t \bullet s \subset_g t \Leftrightarrow s \subseteq_g t \wedge \neg s = t$

HOL Constant

$\bigcup_g: GST \rightarrow GST$

---

$\forall s \bullet \bigcup_g s = C_g (\bigcup (XX_g s))$

We will need an ordered pair, for which the Wiener-Kuratowski version will do:

HOL Constant

$\mathbf{Wkp}_g: GST \rightarrow GST \rightarrow GST$

---

$\forall l r \bullet \mathbf{Wkp}_g l r = C_g \{C_g\{l\}; C_g\{l;r\}\}$

SML

`declare_alias ("↦g", "Wkpg");`

`declare_infix (1100, "↦g");`

## 2.2 Ordinals

Zero:

HOL Constant

$\emptyset_g: GST$

---

$\emptyset_g = C_g \{\}$

$\neg \in \emptyset\_thm =$

$\vdash \forall x \bullet \neg x \in_g \emptyset_g$

$C\_ \emptyset\_lemma =$

$\vdash C_g \{\} = \emptyset_g \wedge C_g \{x|F\} = \emptyset_g$

$\subseteq_g \emptyset\_lemma =$

$\vdash \forall s \bullet \emptyset_g \subseteq_g s$

and the successor function:

HOL Constant

$\mathbf{succ}_g: GST \rightarrow GST$

---

$\forall on \bullet \mathbf{succ}_g on = C_g \{x \mid x = on \vee x \in_g on\}$

A property of sets is ordinal closed if it is true of the empty set and is closed under successor and limit constructions.

HOL Constant

$\mathbf{Oclosed}: (GST \rightarrow BOOL) \rightarrow BOOL$

---

$\forall ps \bullet \mathbf{Oclosed} ps \Leftrightarrow ps \emptyset_g$

$\wedge (\forall x \bullet ps x \Rightarrow ps (\mathbf{succ}_g x))$

$\wedge (\forall ss \bullet (\forall x \bullet x \in_g ss \Rightarrow ps x) \Rightarrow ps (\bigcup_g ss))$

HOL Constant

**Ordinal**:  $GST \rightarrow BOOL$

---

$\forall s \bullet \text{Ordinal } s \Leftrightarrow \forall ps \bullet \text{Oclosed } ps \Rightarrow ps \ s$

$\text{ord-}\emptyset\text{-lemma} =$

$\vdash \text{Ordinal } \emptyset_g$

HOL Constant

**1<sub>g</sub> 2<sub>g</sub>**:  $GST$

---

$1_g = \text{succ}_g \emptyset_g \wedge 2_g = \text{succ}_g 1_g$

HOL Constant

**Transitive<sub>g</sub>**:  $GST \rightarrow BOOL$

---

$\forall s \bullet \text{Transitive}_g \ s \Leftrightarrow \forall t \bullet t \in_g s \Rightarrow t \subseteq_g s$

## 2.3 Relations and Functions

HOL Constant

**Relation**:  $GST \rightarrow BOOL$

---

$\forall s \bullet \text{Relation } s \Leftrightarrow \forall x \bullet x \in_g s \Rightarrow \exists l r : GST \bullet x = l \mapsto_g r$

$\text{rel-}\emptyset\text{-lemma} =$

$\vdash \text{Relation } \emptyset_g$

HOL Constant

**Function**:  $GST \rightarrow BOOL$

---

$\forall s \bullet \text{Function } s \Leftrightarrow \text{Relation } s \wedge \forall x \ y \ z : GST \bullet x \mapsto_g y \in_g s \wedge x \mapsto_g z \in_g s \Rightarrow y = z$

$\text{func-}\emptyset\text{-lemma} =$

$\vdash \text{Function } \emptyset_g$

HOL Constant

**domain**:  $GST \rightarrow GST$

---

$\forall s \bullet \text{domain } s = C_g \{x \mid \exists y \bullet x \mapsto_g y \in_g s\}$

| *dom\_∅\_lemma* =  
 |      $\vdash \text{domain } \emptyset_g = \emptyset_g$

HOL Constant

| *range*:  $GST \rightarrow GST$

---

|  $\forall s \bullet \text{range } s = C_g \{y \mid \exists x \bullet x \mapsto_g y \in_g s\}$

| *ran\_∅\_lemma* =  
 |      $\vdash \text{range } \emptyset_g = \emptyset_g$

SML

| *declare\_infix* (900, "×<sub>g</sub>");

Cartesian product:

HOL Constant

|  $\$_{\times_g}$ :  $GST \rightarrow GST \rightarrow GST$

---

|  $\forall s \ t \bullet s \times_g t = C_g \{p:GST \mid \exists l \ r \bullet l \in_g s \wedge r \in_g t \wedge p = l \mapsto_g r\}$

## 2.4 Proof Context

| *GST\_∅\_clauses* =  
 |      $\vdash C_g \{\} = \emptyset_g$   
 |      $\wedge C_g \{x|F\} = \emptyset_g$   
 |      $\wedge (\forall s \bullet \emptyset_g \subseteq_g s)$   
 |      $\wedge \text{Ordinal } \emptyset_g$   
 |      $\wedge \text{Relation } \emptyset_g$   
 |      $\wedge \text{Function } \emptyset_g$   
 |      $\wedge \text{domain } \emptyset_g = \emptyset_g$   
 |      $\wedge \text{range } \emptyset_g = \emptyset_g$

### 3 SURREAL NUMBERS

The plan of action here is as follows. First I speculate on an axiomatisation of the surreal numbers sufficient for non-standard analysis (the surreals of rank up to  $2^w$  would probably suffice). Then this axiomatisation is evaluated in two different ways. It is used for the development of parts of the theory of surreals, heading as rapidly as is possible in the direction of analysis. It is also validated by performing a construction which delivers the axioms.

Three theories are therefore produced. The first, *sra*, contains the axiomatisation and its development. The second, *src*, contains the construction preliminary to *defining* (in the third theory, *srd*) a type of surreals satisfying the axioms in the first theory.

If this were to go well, then the surreals would be used as a base for something like geometric algebra and analysis would then be developed in that context. If I can find a way of exploring these latter two options without completing the previous developments then I may do that. Unfortunately my main motivation for considering the surreal numbers is in the possibility that non-standard analysis would provide a better base than standard analysis for reasoning formally about general relativity, and in particular reasoning about “solutions” to the gravitational “field equations” in those circumstances in which singularities arise (i.e. black holes). I really have no idea whether it will help. Even in non-standard analysis division by zero fails, so possibly the singularities remain.

From that point of view I should be looking at non-standard analysis not at the construction of surreal numbers.

#### 3.1 An Axiomatisation of the Surreal Numbers

Since this document is exploratory in nature, and proofs take time, it is worthwhile to explore the axiomatisation of surreal numbers independently of the construction. This may help in deciding how the development of the theory on the basis of the construction should be done, or it may just be a faster way of deciding whether the entire enterprise is worth the candle.

I understand from Philip Ehrlich that he has published an axiomatisation of the surreals independent of set theory in the Journal of Symbolic logic, but I don't have ready access to it so I am importing into this document an axiomatisation which I did a few years back.

For this purpose a new theory “sra” is introduced in a context which does not include an axiomatic set theory.

```
SML
|open_theory "rbjmisc";
|force_new_theory "sra";
|new_parent "ordered_sets";
|set_merge_pcs ["hol1", "'savedthm_cs_∃_proof"];
```

##### 3.1.1 Primitive Types and Constants

```
SML
|new_type ("No", 0);
|new_const ("∅s", ⌈:No⌋);
|declare_alias ("∅", ⌈∅s⌋);
|new_const ("IC", ⌈:(No → BOOL) → No → No⌋);
```

```

|new_const (" $<_{\mathbb{S}}$ ",  $\lceil No \rightarrow No \rightarrow BOOL \rceil$ );
|declare_infix (240, " $<_{\mathbb{S}}$ ");
|declare_alias (" $<$ ",  $\lceil \$<_{\mathbb{S}} \rceil$ );
|declare_infix (240, " $<<$ ");

```

### 3.1.2 Definitions

HOL Constant

```

|rank: No  $\rightarrow$  No
|-----
| $\forall n \bullet rank\ n = IC\ (\lambda x \bullet T)\ n$ 

```

HOL Constant

```

| $\$<<: No \rightarrow No \rightarrow BOOL$ 
|-----
| $\forall n\ m: No \bullet n << m = rank\ n <_{\mathbb{S}} rank\ m$ 

```

### 3.1.3 The Zero Axiom

SML

```

|new_axiom (["SZeroAx"],  $\lceil \forall x \bullet rank\ x = \emptyset \Leftrightarrow x = \emptyset \rceil$ );

```

### 3.1.4 The Cut Axiom

The following axiom states that, for:

1. any property  $p$  of surreals and
2. surreal  $n$  such that  $p$  is downward closed on the surreals of lower rank than  $n$

there exists a surreal number  $(IC\ p\ n)$  such that:

- $(IC\ p\ n)$  is in between the surreals of rank less than  $n$  with the property and those of rank less than  $n$  without the property, and
- where  $p$  and  $q$  define the same cut on the surreals of rank less than  $n$  then  $(IC\ p\ n)$  is the same surreal as  $(IC\ q\ n)$ .

SML

```

|new_axiom (["SCutAx"],
| $\lceil \forall p: No \rightarrow BOOL; n: No \bullet$ 
|
| $(\forall x\ y: No \bullet x << n \wedge y << n \wedge x < y \wedge p\ y \Rightarrow p\ x)$ 
| $\Rightarrow (\forall x: No \bullet x << n \Rightarrow (p\ x \Leftrightarrow x < (IC\ p\ n)) \wedge (\neg p\ x \Leftrightarrow (IC\ p\ n) < x))$ 
| $\wedge (\forall q: No \rightarrow BOOL \bullet (\forall x \bullet x << n \Rightarrow (p\ x \Leftrightarrow q\ x)) \Leftrightarrow (IC\ p\ n) = (IC\ q\ n))$ 
| $\rceil$ );

```

### 3.1.5 The Induction Axiom

The following axiom states that for any property  $p$  of surreals, if  $p$  holds for a surreal  $n$  whenever it holds for all the surreals of lower rank than  $n$ , then it holds for all surreals.

This is the same as Conway's induction axiom since the union of the two sides of the canonical cuts ("games") on which this axiomatization is based is the set of all numbers of lower rank.

SML

```
|new_axiom ([ "SIndAx" ], [ WellFounded (Universe, $<<) ] );
```

### 3.1.6 Infinity

This is the ordinal version of my strong infinity for HOL axiom, asserted of the surreals rather than the individuals. I have a fairly low level of confidence in this as yet, and am no longer inclined to this style of axiom. I don't know whether it's best to assert it of  $<<$ , or of  $<<$  restricted to ordinals. For the present I will just display rather than actually adopt the axiom and assert a much weaker axiom which will probably suffice for the applications I have in mind (i.e. for analysis).

```
|declare_infix (240, "e");
|new_axiom ([ "SInfAx" ], [
  ∀p• ∃q• p << q
  ∧ (∀x• x << q ⇒
    ((∃y $e• y << q
      ∧ (∀Z• ∃u• u << y
        ∧ (∀v• v << x ⇒ (v e u ⇔ Z v))
      )
    )
  )
  ∧ (∀G• (∀u• u << x ⇒ (G u) << q)
    ⇒ ∃y• y << q ∧ ∀u• u << x ⇒ (G u) << y
  )
)
]);
```

The weak axiom of infinity has some similarity with the galactic closure axiom for GST. Taking the idea of a galaxy but limiting the closure properties to closure under replacement, we get the following axiom. Think of it in terms of ordinals which we may think of according to the Von Neumann conception (though the model behind the surreals is not the same) in which each ordinal is the set of its predecessors (in the surreals it is more like an ordinal is the set of numbers of smaller rank). So we are asserting that for every surreal ordinal  $s$  there is a large ordinal  $g$  which is "closed under replacement", i.e. the image of an ordinal  $x$  under a function  $f$  is bounded in the galaxy if it is a subset of it.

SML

```
| new_axiom ("WInfAx"),  $\Gamma$   
|  $\forall s \bullet \exists g \bullet s \ll g$   
|  $\wedge \forall x f \bullet$   
|  $x \ll g$   
|  $\wedge (\forall y \bullet y \ll x \Rightarrow f y \ll g)$   
|  $\Rightarrow \exists z \bullet z \ll g \wedge \forall v \bullet v \ll x \Rightarrow f v \ll z$ ;|
```

## 3.2 Constructing the Surreal Numbers

SML

```
| open_theory "GST";  
| force_new_theory "src";  
| set_merge_pcs ["hol1", "'savedthm_cs_∃_proof", "'GST1"];|
```

### 3.2.1 The Representation of Surreals

Its not clear what is the best way to do this, so I may end up trying more than one method. The first method is to use transfinite binary expansions which I have done following the Wikipedia account (though not slavishly).

A surreal number is a function whose domain is an ordinal and whose range is a subset of  $2_g$ :

HOL Constant

```
| Surreal_rep:  $GST \rightarrow BOOL$   
|-----  
|  $\forall s \bullet \text{Surreal\_rep } s \Leftrightarrow \text{Function } s \wedge \text{Ordinal } (\text{domain } s) \wedge (\text{range } s) \subseteq_g 2_g$   
  
| Surreal_exists_thm =  
|  $\vdash \exists x \bullet \text{Surreal\_rep } x$ |
```

Sometimes its handy to have this as a set:

HOL Constant

```
| surreal_reps:  $GST SET$   
|-----  
|  $\text{surreal\_reps} = \{s \mid \text{Surreal\_rep } s\}$ |
```

### 3.2.2 Defining The Constants used in The Axioms

We will need to define operations over the surreals using transfinite recursion, and for that purpose a suitable well-founded relation is needed. The surreal representatives are partially ordered by their length, which is of course, their domain.

SML

```
| declare_infix (70, "<<srr");|
```



HOL Constant

$\$ \ll_{srr}: GST \rightarrow GST \rightarrow BOOL$

---

$\forall x y \bullet x \ll_{srr} y \Leftrightarrow Surreal\_rep\ x \wedge Surreal\_rep\ y \wedge domain\ x \subset_g domain\ y$

SML

`declare_infix (70, "<_{srr}");`

The next key element of the construction is the usual linear ordering of the surreals.

HOL Constant

$\$ \lt_{srr}: GST \rightarrow GST \rightarrow BOOL$

---

$\forall s t \bullet s \lt_{srr} t \Leftrightarrow$   
 $s \subseteq_g t \wedge (domain\ s \mapsto_g 1_g) \in_g t$   
 $\vee t \subseteq_g s \wedge (domain\ t \mapsto_g \emptyset_g) \in_g s$   
 $\vee (\exists z \bullet z \subseteq_g s \wedge z \subseteq_g t$   
 $\wedge (domain\ z \mapsto_g \emptyset_g) \in_g s \wedge (domain\ z \mapsto_g 1_g) \in_g t)$

In order to do this we need to define some auxiliary functions which translate between binary expansions and cuts in the numbers.

First, from a number obtain a left and a right set of numbers:

HOL Constant

$L: GST \rightarrow GST$

---

$\forall n \bullet L\ n = C_g \{m \mid m \subset_g n \wedge domain\ m \mapsto_g 1_g \in_g n\}$

HOL Constant

$R: GST \rightarrow GST$

---

$\forall n \bullet R\ n = C_g \{m \mid m \subset_g n \wedge domain\ m \mapsto_g \emptyset_g \in_g n\}$

Each pair of sets of numbers  $L, R$  such that every element of  $L$  is less than every element of  $R$ , determines a unique number  $\sigma(L, R)$  which is the simplest number between the two sets.

This does not correspond precisely to the Wikipedia account, since in it  $\sigma(L, R)$  is supposed to be strictly between  $L$  and  $R$ , and right now I can't see how to do that in the case that one half contains a number which is an initial segment of a number in the other half.

HOL Constant

$\sigma: GST \times GST \rightarrow GST$

---

$\forall ls\ rs \bullet \sigma(ls, rs) = C_g (\bigcup \{i \mid \exists le\ re \bullet le \in_g ls \wedge re \in_g rs \wedge i = (X_g\ le) \cap (X_g\ re)\})$

### 3.2.3 Defining the Arithmetic Operators

These are the definitions of the arithmetic operations which go with the above definition of the construction of the surreals. They probably can and should be done in the new type of surreals after it has been introduced, but I had already done them before that occurred to me, and will remain at least until I have satisfied myself that definition the later is preferable.

SML

```

| declare_right_infix (210, "+srr");
| declare_right_infix (210, "-srr");
| declare_right_infix (220, "*srr");

```

The following definition is by transfinite recursion on the rank of the surreal numbers involved. A proof script is required (not yet supplied) to show that the function is well-defined.

HOL Constant

```

| $+srr: GST → GST → GST
|-----
| ∀x y • Surreal_rep x ∧ Surreal_rep y ⇒
|   x +srr y = σ(
|     Cg{a | ∃u • a = u +srr y ∧ u ∈g L(x)} ∪ {a | ∃v • a = x +srr v ∧ v ∈g L(y)},
|     Cg{a | ∃u • a = u +srr y ∧ u ∈g R(x)} ∪ {a | ∃v • a = x +srr v ∧ v ∈g R(y)})

```

HOL Constant

```

| $~srr: GST → GST
|-----
| ∀x • Surreal_rep x ⇒
|   ~srr x = Cg{z | ∃u v • z = u ↦g v ∧ u ↦g (if v = ∅g then 1g else ∅g) ∈g x}

```

HOL Constant

```

| $-srr: GST → GST → GST
|-----
| ∀x y • Surreal_rep x ∧ Surreal_rep y ⇒
|   x -srr y = x +srr (~srr y)

```

HOL Constant

```

| $*srr: GST → GST → GST
|-----
| ∀x y • Surreal_rep x ∧ Surreal_rep y ⇒
|   x *srr y = σ(
|     Cg{a | ∃u v • a = u *srr y +srr x *srr v -srr u *srr v
|       ∧ (u ∈g L(x) ∧ v ∈g L(y) ∨ u ∈g R(x) ∧ v ∈g R(y))},
|     Cg{a | ∃u v • a = u *srr y +srr x *srr v -srr u *srr v
|       ∧ (u ∈g L(x) ∧ v ∈g R(y) ∨ u ∈g R(x) ∧ v ∈g L(y))})

```

### 3.3 The Theory of Surreal Numbers

SML

```
| open_theory "src";  
| force_new_theory "sr";  
| set_merge_pcs ["hol1", "'savedthm_cs_∃_proof", "'GST1"];  
| new_type_defn (["sr"], "sr", [], Surreal_exists_thm);
```

## 4 The Theory GST

### 4.1 Parents

*ordered\_sets fixp rbjmisc*

### 4.2 Children

*poly-sets src*

### 4.3 Constants

|                               |   |
|-------------------------------|---|
| $\$ \in_g$                    | $GST \rightarrow GST \rightarrow BOOL$      |
| $X_g$                         | $GST \rightarrow GST \mathbb{P}$            |
| $XX_g$                        | $GST \rightarrow GST \mathbb{P} \mathbb{P}$ |
| $\exists_g$                   | $GST \mathbb{P} \rightarrow BOOL$           |
| $C_g$                         | $GST \mathbb{P} \rightarrow GST$            |
| $G_g$                         | $GST \rightarrow BOOL$                      |
| $\$ \subseteq_g$              | $GST \rightarrow GST \rightarrow BOOL$      |
| $\$ \subset_g$                | $GST \rightarrow GST \rightarrow BOOL$      |
| $\bigcup_g$                   | $GST \rightarrow GST$                       |
| $Wkp_g$                       | $GST \rightarrow GST \rightarrow GST$       |
| $\emptyset_g$                 | $GST$                                       |
| $succ_g$                      | $GST \rightarrow GST$                       |
| <i>Oclosed</i>                | $(GST \rightarrow BOOL) \rightarrow BOOL$   |
| <i>Ordinal</i>                | $GST \rightarrow BOOL$                      |
| $2_g$                         | $GST$                                       |
| $1_g$                         | $GST$                                       |
| <i>Transitive<sub>g</sub></i> | $GST \rightarrow BOOL$                      |
| <i>Relation</i>               | $GST \rightarrow BOOL$                      |
| <i>Function</i>               | $GST \rightarrow BOOL$                      |
| <i>domain</i>                 | $GST \rightarrow GST$                       |
| <i>range</i>                  | $GST \rightarrow GST$                       |
| $\$ \times_g$                 | $GST \rightarrow GST \rightarrow GST$       |

### 4.4 Aliases

$\mapsto_g$   $Wkp_g : GST \rightarrow GST \rightarrow GST$

### 4.5 Types

*GST*

### 4.6 Fixity

*Right Infix 50:*

$\subseteq_g \subset_g$

*Right Infix 70:*

$\in_g$

*Right Infix 900:*

$\times_g$

*Right Infix 1100:*

$\mapsto_g$

## 4.7 Axioms

|            |  |
|------------|--|
| <b>Ext</b> | $\vdash \forall x y \bullet x = y \Leftrightarrow (\forall z \bullet z \in_g x \Leftrightarrow z \in_g y)$                                       |
| <b>Wf</b>  | $\vdash \forall P \bullet (\forall s \bullet (\forall t \bullet t \in_g s \Rightarrow P t) \Rightarrow P s) \Rightarrow (\forall x \bullet P x)$ |
| <b>G</b>   | $\vdash \forall x \bullet \exists g \bullet x \in_g g \wedge G_g g$  |

## 4.8 Definitions

|                                   |  |
|-----------------------------------|--|
| <b><math>X_g</math></b>           | $\vdash \forall s \bullet X_g s = \{x   x \in_g s\}$   |
| <b><math>XX_g</math></b>          | $\vdash \forall s \bullet XX_g s = \{x   \exists y \bullet y \in_g s \wedge x = X_g y\}$   |
| <b><math>\exists_g</math></b>     | $\vdash \forall s \bullet \exists_g s \Leftrightarrow (\exists t \bullet X_g t = s)$   |
| <b><math>C_g</math></b>           | $\vdash \forall s \bullet \exists_g s \Rightarrow X_g (C_g s) = s$   |
| <b><math>G_g</math></b>           | $\vdash \forall s$<br><ul style="list-style-type: none"> <li>• <math>G_g s</math><br/> <math>\Leftrightarrow (\forall x</math><br/> <ul style="list-style-type: none"> <li>• <math>x \in_g s</math><br/> <math>\Rightarrow (\exists v \bullet v \in_g s \wedge X_g v = \bigcup (XX_g x))</math><br/> <math>\wedge (\exists v \bullet v \in_g s \wedge XX_g v = \{z   z \subseteq X_g x\})</math><br/> <math>\wedge (\forall r</math><br/> <ul style="list-style-type: none"> <li>• <math>r \in \text{Functional}</math><br/> <math>\Rightarrow (\exists v</math><br/> <ul style="list-style-type: none"> <li>• <math>v \in_g x \wedge X_g v = r \text{ Image } X_g x))</math></li> </ul> </li> </ul> </li> </ul> </li> </ul> |
| <b><math>\subseteq_g</math></b>   | $\vdash \forall s t \bullet s \subseteq_g t \Leftrightarrow (\forall x \bullet x \in_g s \Rightarrow x \in_g t)$   |
| <b><math>\subset_g</math></b>     | $\vdash \forall s t \bullet s \subset_g t \Leftrightarrow s \subseteq_g t \wedge \neg s = t$   |
| <b><math>\bigcup_g</math></b>     | $\vdash \forall s \bullet \bigcup_g s = C_g (\bigcup (XX_g s))$  |
| <b><math>Wkp_g</math></b>         | $\vdash \forall l r \bullet l \mapsto_g r = C_g \{C_g \{l\}; C_g \{l; r\}\}$   |
| <b><math>\emptyset_g</math></b>   | $\vdash \emptyset_g = C_g \{\}$  |
| <b><math>\text{succ}_g</math></b> | $\vdash \forall on \bullet \text{succ}_g on = C_g \{x   x = on \vee x \in_g on\}$  |
| <b><math>Oclosed</math></b>       | $\vdash \forall ps$<br><ul style="list-style-type: none"> <li>• <math>Oclosed ps</math><br/> <math>\Leftrightarrow ps \emptyset_g</math><br/> <math>\wedge (\forall x \bullet ps x \Rightarrow ps (\text{succ}_g x))</math><br/> <math>\wedge (\forall ss \bullet (\forall x \bullet x \in_g ss \Rightarrow ps x) \Rightarrow ps (\bigcup_g ss))</math></li> </ul>   |
| <b><math>Ordinal</math></b>       | $\vdash \forall s \bullet Ordinal s \Leftrightarrow (\forall ps \bullet Oclosed ps \Rightarrow ps s)$  |
| <b><math>1_g</math></b>           |  |
| <b><math>2_g</math></b>           | $\vdash 1_g = \text{succ}_g \emptyset_g \wedge 2_g = \text{succ}_g 1_g$  |
| <b><math>Transitive_g</math></b>  | $\vdash \forall s \bullet Transitive_g s \Leftrightarrow (\forall t \bullet t \in_g s \Rightarrow t \subseteq_g s)$  |
| <b><math>Relation</math></b>      | $\vdash \forall s$<br><ul style="list-style-type: none"> <li>• <math>Relation s \Leftrightarrow (\forall x \bullet x \in_g s \Rightarrow (\exists l r \bullet x = l \mapsto_g r))</math></li> </ul>  |
| <b><math>Function</math></b>      | $\vdash \forall s$<br><ul style="list-style-type: none"> <li>• <math>Function s</math><br/> <math>\Leftrightarrow Relation s</math><br/> <math>\wedge (\forall x y z</math><br/> <ul style="list-style-type: none"> <li>• <math>x \mapsto_g y \in_g s \wedge x \mapsto_g z \in_g s \Rightarrow y = z)</math></li> </ul> </li> </ul>  |
| <b><math>\text{domain}</math></b> | $\vdash \forall s \bullet \text{domain } s = C_g \{x   \exists y \bullet x \mapsto_g y \in_g s\}$  |
| <b><math>\text{range}</math></b>  | $\vdash \forall s \bullet \text{range } s = C_g \{y   \exists x \bullet x \mapsto_g y \in_g s\}$   |
| <b><math>\times_g</math></b>      | $\vdash \forall s t$<br><ul style="list-style-type: none"> <li>• <math>s \times_g t</math><br/> <math>= C_g \{p   \exists l r \bullet l \in_g s \wedge r \in_g t \wedge p = l \mapsto_g r\}</math></li> </ul>  |

## 4.9 Theorems

**$G_{\Rightarrow} \emptyset$ -lemma**  $\vdash \forall x \bullet G_g x \Rightarrow \exists_g \{ \}$   
 **$\exists \emptyset$ -lemma**  $\vdash \exists_g \{ \}$   
 **$\neg \in \emptyset$ -thm**  $\vdash \forall x \bullet \neg x \in_g \emptyset_g$   
 **$C \emptyset$ -lemma**  $\vdash C_g \{ \} = \emptyset_g \wedge C_g \{x|F\} = \emptyset_g$   
 **$\subseteq_g \emptyset$ -lemma**  $\vdash \forall s \bullet \emptyset_g \subseteq_g s$   
 **$ord \emptyset$ -lemma**  $\vdash Ordinal \emptyset_g$   
 **$rel \emptyset$ -lemma**  $\vdash Relation \emptyset_g$   
 **$func \emptyset$ -lemma**  $\vdash Function \emptyset_g$   
 **$dom \emptyset$ -lemma**  $\vdash domain \emptyset_g = \emptyset_g$   
 **$ran \emptyset$ -lemma**  $\vdash range \emptyset_g = \emptyset_g$   
 **$GST \emptyset$ -clauses**  
 $\vdash C_g \{ \} = \emptyset_g$   
 $\wedge C_g \{x|F\} = \emptyset_g$   
 $\wedge (\forall s \bullet \emptyset_g \subseteq_g s)$   
 $\wedge Ordinal \emptyset_g$   
 $\wedge Relation \emptyset_g$   
 $\wedge Function \emptyset_g$   
 $\wedge domain \emptyset_g = \emptyset_g$   
 $\wedge range \emptyset_g = \emptyset_g$

## 5 The Theory *sra*

### 5.1 Parents

*ordered\_sets*      *rbjmisc*

### 5.2 Constants

$\emptyset_s$                       *No*  
**IC**                           $(No \rightarrow BOOL) \rightarrow No \rightarrow No$   
 $\$<_s$                          $No \rightarrow No \rightarrow BOOL$   
**rank**                       $No \rightarrow No$   
 $\$<<$                          $No \rightarrow No \rightarrow BOOL$

### 5.3 Aliases

$\emptyset$                            $\emptyset_s : No$   
 $<$                              $\$<_s : No \rightarrow No \rightarrow BOOL$

### 5.4 Types

*No*

### 5.5 Fixity

*Right Infix 240:*

$<<$      $<_s$

### 5.6 Axioms

**SZeroAx**                   $\vdash \forall x \bullet rank\ x = \emptyset \Leftrightarrow x = \emptyset$   
**SCutAx**                     $\vdash \forall p\ n$   
                                    $\bullet (\forall x\ y \bullet x << n \wedge y << n \wedge x < y \wedge p\ y \Rightarrow p\ x)$   
                                    $\Rightarrow (\forall x$   
                                    $\bullet x << n$   
                                    $\Rightarrow (p\ x \Leftrightarrow x < IC\ p\ n)$   
                                    $\wedge (\neg p\ x \Leftrightarrow IC\ p\ n < x))$   
                                    $\wedge (\forall q$   
                                    $\bullet (\forall x \bullet x << n \Rightarrow (p\ x \Leftrightarrow q\ x))$   
                                    $\Leftrightarrow IC\ p\ n = IC\ q\ n)$   
**SIndAx**                     $\vdash WellFounded\ (Universe, \$<<)$   
**WInfAx**                     $\vdash \forall s$   
                                    $\bullet \exists g$   
                                    $\bullet s << g$   
                                    $\wedge (\forall x\ f$   
                                    $\bullet x << g \wedge (\forall y \bullet y << x \Rightarrow f\ y << g)$   
                                    $\Rightarrow (\exists z \bullet z << g \wedge (\forall v \bullet v << x \Rightarrow f\ v << z)))$

### 5.7 Definitions

**rank**                       $\vdash \forall n \bullet rank\ n = IC\ (\lambda x \bullet T)\ n$   
 $<<$                              $\vdash \forall n\ m \bullet n << m \Leftrightarrow rank\ n < rank\ m$

## 6 The Theory $\text{src}$

### 6.1 Parents

$GST$

### 6.2 Children

$sr$

### 6.3 Constants

|                 |  |
|-----------------|--|
| $Surreal\_rep$  | $GST \rightarrow BOOL$                 |
| $surreal\_reps$ | $GST \mathbb{P}$                       |
| $\$<<_{srr}$    | $GST \rightarrow GST \rightarrow BOOL$ |
| $\$<_{srr}$     | $GST \rightarrow GST \rightarrow BOOL$ |
| $L$             | $GST \rightarrow GST$                  |
| $R$             | $GST \rightarrow GST$                  |
| $\sigma$        | $GST \times GST \rightarrow GST$       |
| $\$+_{srr}$     | $GST \rightarrow GST \rightarrow GST$  |
| $\sim_{srr}$    | $GST \rightarrow GST$                  |
| $\$-_{srr}$     | $GST \rightarrow GST \rightarrow GST$  |
| $\$*_{srr}$     | $GST \rightarrow GST \rightarrow GST$  |

### 6.4 Fixity

Right Infix 70:

$<<_{srr}$        $<_{srr}$

Right Infix 210:

$+_{srr}$     $-_{srr}$

Right Infix 220:

$*_{srr}$

### 6.5 Definitions

|                 |   |
|-----------------|---|
| $Surreal\_rep$  | $\vdash \forall s$  |
|                 | <ul style="list-style-type: none"> <li><math>Surreal\_rep\ s</math></li> </ul> $\Leftrightarrow$ Function $s$<br>$\wedge$ Ordinal (domain $s$ )<br>$\wedge$ range $s \subseteq_g \mathcal{2}_g$                                   |
| $surreal\_reps$ | $\vdash surreal\_reps = \{s \mid Surreal\_rep\ s\}$   |
| $<<_{srr}$      | $\vdash \forall x\ y$   |
|                 | <ul style="list-style-type: none"> <li><math>x &lt;&lt;_{srr} y</math></li> </ul> $\Leftrightarrow$ $Surreal\_rep\ x$<br>$\wedge$ $Surreal\_rep\ y$<br>$\wedge$ domain $x \subset_g$ domain $y$                                   |
| $<_{srr}$       | $\vdash \forall s\ t$   |
|                 | <ul style="list-style-type: none"> <li><math>s &lt;_{srr} t</math></li> </ul> $\Leftrightarrow s \subseteq_g t \wedge$ domain $s \mapsto_g 1_g \in_g t$<br>$\vee t \subseteq_g s \wedge$ domain $t \mapsto_g \emptyset_g \in_g s$ |



$$\begin{array}{l}
\vee (\exists z \\
\bullet z \subseteq_g s \\
\quad \wedge z \subseteq_g t \\
\quad \wedge \text{domain } z \mapsto_g \emptyset_g \in_g s \\
\quad \wedge \text{domain } z \mapsto_g 1_g \in_g t) \\
L \quad \vdash \forall n \bullet L n = C_g \{m | m \subset_g n \wedge \text{domain } m \mapsto_g 1_g \in_g n\} \\
R \quad \vdash \forall n \bullet R n = C_g \{m | m \subset_g n \wedge \text{domain } m \mapsto_g \emptyset_g \in_g n\} \\
\sigma \quad \vdash \forall ls \ rs \\
\quad \bullet \sigma (ls, rs) \\
\quad = C_g \\
\quad (\cup \\
\quad \quad \{i \\
\quad \quad \quad | \exists le \ re \\
\quad \quad \quad \bullet le \in_g ls \\
\quad \quad \quad \quad \wedge re \in_g rs \\
\quad \quad \quad \quad \wedge i = X_g le \cap X_g re\}) \\
+_{srr} \quad \vdash \text{ConstSpec} \\
\quad (\lambda +_{srr}' \\
\quad \bullet \forall x \ y \\
\quad \bullet \text{Surreal\_rep } x \wedge \text{Surreal\_rep } y \\
\quad \quad \Rightarrow +_{srr}' x \ y \\
\quad \quad = \sigma \\
\quad \quad (C_g \\
\quad \quad \quad (\{a \\
\quad \quad \quad \quad | \exists u \\
\quad \quad \quad \quad \bullet a = +_{srr}' u \ y \\
\quad \quad \quad \quad \quad \wedge u \in_g L \ x\} \\
\quad \quad \quad \cup \{a \\
\quad \quad \quad \quad | \exists v \\
\quad \quad \quad \quad \bullet a = +_{srr}' x \ v \\
\quad \quad \quad \quad \quad \wedge v \in_g L \ y\}), \\
\quad \quad \quad C_g \\
\quad \quad \quad (\{a \\
\quad \quad \quad \quad | \exists u \\
\quad \quad \quad \quad \bullet a = +_{srr}' u \ y \\
\quad \quad \quad \quad \quad \wedge u \in_g R \ x\} \\
\quad \quad \quad \cup \{a \\
\quad \quad \quad \quad | \exists v \\
\quad \quad \quad \quad \bullet a = +_{srr}' x \ v \\
\quad \quad \quad \quad \quad \wedge v \in_g R \ y\}))))) \\
\sim_{srr} \quad \$+_{srr} \\
\vdash \text{ConstSpec} \\
\quad (\lambda \sim_{srr}' \\
\quad \bullet \forall x \\
\quad \bullet \text{Surreal\_rep } x \\
\quad \quad \Rightarrow \sim_{srr}' x \\
\quad \quad = C_g \\
\quad \quad \{z \\
\quad \quad \quad | \exists u \ v \\
\quad \quad \quad \bullet z = u \mapsto_g v \\
\quad \quad \quad \quad \wedge u
\end{array}$$

$$\begin{array}{l}
\vdash_g (\text{if } v = \emptyset_g \\
\text{then } 1_g \\
\text{else } \emptyset_g) \\
\in_g x) \\
\\
\sim_{srr} \\
-_{srr} \quad \vdash \text{ConstSpec} \\
\quad (\lambda -_{srr}' \\
\quad \bullet \forall x y \\
\quad \bullet \text{Surreal\_rep } x \wedge \text{Surreal\_rep } y \\
\quad \quad \Rightarrow -_{srr}' x y = x +_{srr} \sim_{srr} y) \\
\\
\$-_{srr} \\
*_{srr} \quad \vdash \text{ConstSpec} \\
\quad (\lambda *_{srr}' \\
\quad \bullet \forall x y \\
\quad \bullet \text{Surreal\_rep } x \wedge \text{Surreal\_rep } y \\
\quad \quad \Rightarrow *_{srr}' x y \\
\quad \quad = \sigma \\
\quad \quad (C_g \\
\quad \quad \{a \\
\quad \quad \quad | \exists u v \\
\quad \quad \quad \bullet a \\
\quad \quad \quad = *_{srr}' u y \\
\quad \quad \quad +_{srr} *_{srr}' x v \\
\quad \quad \quad -_{srr} *_{srr}' u v \\
\quad \quad \quad \wedge (u \in_g L x \\
\quad \quad \quad \wedge v \in_g L y \\
\quad \quad \quad \vee u \in_g R x \\
\quad \quad \quad \wedge v \in_g R y)\}, \\
\quad \quad C_g \\
\quad \quad \{a \\
\quad \quad \quad | \exists u v \\
\quad \quad \quad \bullet a \\
\quad \quad \quad = *_{srr}' u y \\
\quad \quad \quad +_{srr} *_{srr}' x v \\
\quad \quad \quad -_{srr} *_{srr}' u v \\
\quad \quad \quad \wedge (u \in_g L x \\
\quad \quad \quad \wedge v \in_g R y \\
\quad \quad \quad \vee u \in_g R x \\
\quad \quad \quad \wedge v \in_g L y)\})) \\
\$*_{srr}
\end{array}$$

## 6.6 Theorems

### *Surreal\_exists\_thm*

$$\vdash \exists x \bullet \text{Surreal\_rep } x$$

## 7 The Theory *sr*

### 7.1 Parents

*src*

### 7.2 Types

*sr*

### 7.3 Definitions

*sr*  $\vdash \exists f \bullet \text{TypeDefn } \text{Surreal\_rep } f$

## 8 INDEX

|                                      |            |                              |            |
|--------------------------------------|------------|------------------------------|------------|
| $*_{srr}$ .....                      | 18, 24, 26 | $range$ .....                | 12, 20, 21 |
| $+_{srr}$ .....                      | 18, 24, 25 | $rank$ .....                 | 23         |
| $-_{srr}$ .....                      | 18, 24, 26 | $rel\_∅\_lemma$ .....        | 22         |
| $<$ .....                            | 23         | $Relation$ .....             | 11, 20, 21 |
| $<<$ .....                           | 23         | $SCutAx$ .....               | 23         |
| $<<_{srr}$ .....                     | 17, 24     | $SIndAx$ .....               | 23         |
| $<_{\mathbb{S}}$ .....               | 23         | $sr$ .....                   | 27         |
| $<_{srr}$ .....                      | 17, 24     | $succ_g$ .....               | 10, 20, 21 |
| $\bigcup_g$ .....                    | 10, 20, 21 | $Surreal\_exists\_thm$ ..... | 26         |
| $\emptyset$ .....                    | 23         | $Surreal\_rep$ .....         | 16, 24     |
| $\emptyset_g$ .....                  | 10, 20, 21 | $surreal\_reps$ .....        | 16, 24     |
| $\emptyset_s$ .....                  | 23         | $SZeroAx$ .....              | 23         |
| $\exists\_∅\_lemma$ .....            | 22         | $Transitive_g$ .....         | 11, 20, 21 |
| $\exists_g$ .....                    | 8, 20, 21  | $Wf$ .....                   | 8, 21      |
| $\in_g$ .....                        | 20         | $WInfAx$ .....               | 23         |
| $\neg \in \emptyset\_thm$ .....      | 22         | $Wkp_g$ .....                | 10, 20, 21 |
| $\mapsto_g$ .....                    | 20         | $XX_g$ .....                 | 8, 20, 21  |
| $\sigma$ .....                       | 17, 24, 25 | $X_g$ .....                  | 8, 20, 21  |
| $\sim_{srr}$ .....                   | 18, 24, 25 |                              |            |
| $\subset_g$ .....                    | 9, 20, 21  |                              |            |
| $\subseteq_g$ .....                  | 9, 20, 21  |                              |            |
| $\subseteq_g \emptyset\_lemma$ ..... | 22         |                              |            |
| $\times_g$ .....                     | 12, 20, 21 |                              |            |
| $1_g$ .....                          | 11, 20, 21 |                              |            |
| $2_g$ .....                          | 11, 20, 21 |                              |            |
| $C\_∅\_lemma$ .....                  | 22         |                              |            |
| $C_g$ .....                          | 9, 20, 21  |                              |            |
| $dom\_∅\_lemma$ .....                | 22         |                              |            |
| $domain$ .....                       | 11, 20, 21 |                              |            |
| $Ext$ .....                          | 8, 21      |                              |            |
| $func\_∅\_lemma$ .....               | 22         |                              |            |
| $Function$ .....                     | 11, 20, 21 |                              |            |
| $G$ .....                            | 21         |                              |            |
| $G\_ \Rightarrow \_∅\_lemma$ .....   | 22         |                              |            |
| $GST$ .....                          | 20         |                              |            |
| $GST\_∅\_clauses$ .....              | 22         |                              |            |
| $GST\_Ext\_ax$ .....                 | 8          |                              |            |
| $GST\_wf\_ax$ .....                  | 8          |                              |            |
| $G_g$ .....                          | 9, 20, 21  |                              |            |
| $IC$ .....                           | 23         |                              |            |
| $L$ .....                            | 17, 24, 25 |                              |            |
| $No$ .....                           | 23         |                              |            |
| $Oclosed$ .....                      | 10, 20, 21 |                              |            |
| $ord\_∅\_lemma$ .....                | 22         |                              |            |
| $Ordinal$ .....                      | 11, 20, 21 |                              |            |
| $R$ .....                            | 17, 24, 25 |                              |            |
| $ran\_∅\_lemma$ .....                | 22         |                              |            |